

# Pennsylvania 529 Investment Plan Exchange/Future Contribution (Allocation) Form



- You can easily exchange existing assets or change your future contribution allocation online at [www.PA529.com](http://www.PA529.com) or by telephone instead of completing this form.
- Complete **Section 2** to exchange existing assets to a new investment option. You can do this twice in a calendar year.
- Complete **Section 3** to change your allocation instructions for future contributions. You can do this as often as you wish.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at [www.PA529.com](http://www.PA529.com). Or you can call us to order any form at **1-800-440-4000**. Return this form to: **Pennsylvania 529 Investment Plan, P.O. Box 55378, Boston, MA 02205-5378**. For overnight delivery or registered mail, send to: **Pennsylvania 529 Investment Plan, 95 Wells Avenue, Suite 155, Newton, MA 02459-3204**.

## 1. Account Information

Account Number

Name of Account Owner (first, middle initial, last)

Daytime Telephone Number

Evening Telephone Number

Name of Beneficiary (first, middle initial, last)

**REMEMBER TO SIGN IN SECTION 4.**



\* P A E X C H A N G E \*

## 2. Exchange Instructions for Existing Assets

- For each investment option you hold and wish to exchange, tell us the percentage of assets you want moved and how you want the assets reinvested.
- You may **choose up to five investments for your account**.
- Remember, federal law allows account owners to make two exchanges each calendar year.
- For more information on the investment options, refer to the Disclosure Statement (available at [www.PA529.com](http://www.PA529.com)) or call us at **1-800-440-4000**.

**Note:** This exchange applies only to assets currently held in your account; it will not change the allocation of your future contributions.

### Exchange FROM

### Investment Selection

### Exchange TO

(Check "All" or indicate the percentage of your **current investment** that you want moved to a new investment.)

(Indicate the percentage of your total exchange that you want invested in each portfolio.)

All  OR Percentage  %  
 OR  %  
 OR  %

**Age-Based Options:**  
 Aggressive Age-Based Option  
 Moderate Age-Based Option  
 Conservative Age-Based Option

%  
 %  
 %

OR  %  
 OR  %  
 OR  %

**Individual Portfolios**  
**Stock Portfolios:**  
 Aggressive Growth Portfolio  
 Total Stock Market Index Portfolio  
 Social Index Portfolio

%  
 %  
 %

OR  %  
 OR  %  
 OR  %  
 OR  %

**Balanced Portfolios:**  
 Growth Portfolio  
 Moderate Growth Portfolio  
 Conservative Growth Portfolio  
 Income Portfolio

%  
 %  
 %  
 %

OR  %  
 OR  %

**Bond Portfolios:**  
 Conservative Income Portfolio  
 Inflation-Protected Securities Portfolio

%  
 %

OR  %

**Short-Term Investments Portfolio:**  
 Interest Accumulation Portfolio

%

**TOTAL**  %

### 3. Allocation Instructions for Future Contributions

- Complete this section to change how your future contributions will be invested.
- You can invest your contributions in age-based options, individual portfolios, or a combination of these. Refer to the Disclosure Statement for more information.
- You may **allocate your contributions to a maximum of five investment options**. You must **allocate at least 5%** of your contribution to each investment you choose. Use whole percentages only.
- Your instructions will remain in effect until you change them online at **www.PA529.com** or submit a new Exchange/Future Contribution (Allocation) Form.

**Note:** This change applies only to the allocation of your future contributions; it will not affect the assets currently held in your account.

#### Age-Based Options:

|                               |  |
|-------------------------------|--|
| Aggressive Age-Based Option   | <input type="text"/> <input type="text"/> <input type="text"/> % |
| Moderate Age-Based Option     | <input type="text"/> <input type="text"/> <input type="text"/> % |
| Conservative Age-Based Option | <input type="text"/> <input type="text"/> <input type="text"/> % |

#### Individual Portfolios

##### Stock Portfolios:

|                                    |  |
|------------------------------------|--|
| Aggressive Growth Portfolio        | <input type="text"/> <input type="text"/> <input type="text"/> % |
| Total Stock Market Index Portfolio | <input type="text"/> <input type="text"/> <input type="text"/> % |
| Social Index Portfolio             | <input type="text"/> <input type="text"/> <input type="text"/> % |

##### Balanced Portfolios:

|                               |  |
|-------------------------------|--|
| Growth Portfolio              | <input type="text"/> <input type="text"/> <input type="text"/> % |
| Moderate Growth Portfolio     | <input type="text"/> <input type="text"/> <input type="text"/> % |
| Conservative Growth Portfolio | <input type="text"/> <input type="text"/> <input type="text"/> % |
| Income Portfolio              | <input type="text"/> <input type="text"/> <input type="text"/> % |

##### Bond Portfolios:

|  |  |
|--|--|
| Conservative Income Portfolio            | <input type="text"/> <input type="text"/> <input type="text"/> % |
| Inflation-Protected Securities Portfolio | <input type="text"/> <input type="text"/> <input type="text"/> % |

##### Short-Term Investments Portfolio:

|                                 |  |
|---------------------------------|--|
| Interest Accumulation Portfolio | <input type="text"/> <input type="text"/> <input type="text"/> % |
|---------------------------------|--|

**TOTAL**     %

#### Please remember to:

- Choose no more than five investments for your account.
- Use whole percentages.
- Allocate at least 5% to each investment you choose.
- Sign in **Section 4**.

### 4. Authorization—YOU MUST SIGN BELOW

I certify that the information provided herein is true and complete in all respects.

▶   
Signature of Account Owner

-  -   
Date (month, day, year)

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